

Quantifying the influence of global warming on unprecedented extreme climate events

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Efforts to understand the influence of historical global warming on individual extreme climate events have increased over the past decade. However, despite substantial progress, events that are unprecedented in the local observational record remain a persistent challenge. Leveraging observations and a large climate model ensemble, we quantify uncertainty in the influence of global warming on the severity and probability of the historically hottest month, hottest day, driest year, and wettest 5-d period for different areas of the globe. We find that historical warming has increased the severity and probability of the hottest month and hottest day of the year at >80% of the available observational area. Our framework also suggests that the historical climate forcing has increased the probability of the driest year and wettest 5-d period at 57% and 41% of the observed area, respectively, although we note important caveats. For the most protracted hot and dry events, the strongest and most widespread contributions of anthropogenic climate forcing occur in the tropics, including increases in probability of at least a factor of 4 for the hottest month and at least a factor of 2 for the driest year. We also demonstrate the ability of our framework to systematically evaluate the role of dynamic and thermodynamic factors such as atmospheric circulation patterns and atmospheric water vapor, and find extremely high statistical confidence that anthropogenic forcing increased the probability of record-low Arctic sea ice extent.

event attribution | climate extremes | climate change | global warming

The last decade has witnessed increasing interest in possible connections between historical global warming and individual extreme climate events (1–9). This interest is grounded in both scientific and practical motivations. First, extremes underlie many of the most acute stresses on natural and human systems (10, 11). Understanding the influence of historical warming on extremes is therefore critical for detecting climate change impacts (12, 13). Second, trends in the frequency and/or intensity of extremes have already been detected (10, 11), implying increasing probability of events that are unprecedented in the observed record. Third, continued global warming is likely to cause widespread emergence of unprecedented events in the future (e.g., refs. 10 and 14).

Effective management of climate-related risks therefore requires robust quantification of the probability of extremes in the current and future climate (10). For example, quantification of risk and liability (8, 15), and design of resilient infrastructure and resource management systems (16), must account for both historical non-stationarity and the likelihood of future changes. Similarly, the United Nations mechanisms for climate change compensation, adaptation, and preparation create a practical need to quantify the contribution of historical emissions to individual extreme events (e.g., ref. 17). Finally, connections between historical warming and individual events have become an explicit motivation for decision makers and the public (e.g., ref. 5).

Although the tails of climate distributions have been analyzed for many years (e.g., ref. 18), quantifying the contribution of historical warming to unprecedented events presents an imposing scientific

challenge at the nexus of climate dynamics and statistical analysis (5). First, although some local observations are centuries old, much of the climate system is observed only sparsely, and only for the past few decades (19–21). As a result, observational samples are small relative to the magnitude of the most extreme events (20), creating substantial uncertainty in the probability (22, 23). Second, the historical increase in greenhouse forcing has already altered global climate dynamics (e.g., refs. 2, 10, and 20). The probability of some kinds of extremes has thus been affected both by overlaying a trend on the background variability and by changes in the physical processes that create rare events (22, 24–26). However, because climate forcing has increased over the historical period, the observational sample in the present forcing is even smaller than in the full observational record. As a result, distinguishing a change in probability between the earlier and later periods poses a challenge that cannot be readily overcome solely through observational analysis (e.g., refs. 27 and 28), or with the relatively small climate model ensembles conventionally analyzed in efforts such as the Intergovernmental Panel on Climate Change (23).

Given these challenges, a number of approaches to “single-event attribution” have been developed (2–5, 7, 8, 29). These approaches use observations and/or climate models to quantify the influence of historical global warming on the probability and/or severity of individual events (e.g., refs. 6, 22, and 30–38). Each method has its own advantages and assumptions (5). One challenge is that different approaches sometimes yield different attribution statements, either because of differences in how “attribution” is defined, differences in

Significance

Extreme climate events have increased in many regions. Efforts to test the influence of global warming on individual events have also increased, raising the possibility of operational, real-time, single-event attribution. We apply four attribution metrics to four climate variables at each available point on a global grid. We find that historical global warming has increased the severity and probability of the hottest monthly and daily events at more than 80% of the observed area and has increased the probability of the driest and wettest events at approximately half of the observed area. Our results suggest that scientifically durable operational attribution is possible but they also highlight the importance of carefully diagnosing and testing the physical causes of individual events.

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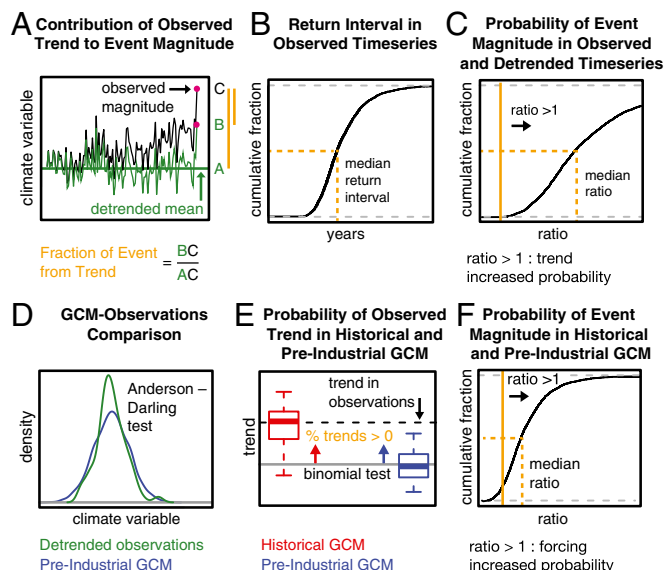


Fig. 1. Idealized examples of the primary metrics targeted by our attribution analysis. (A) The contribution of the observed trend to the event magnitude. (B) The uncertainty in the event return interval in the original time series. (C) The uncertainty in the contribution of the observed trend to the event probability. (D) Comparison of the observed interannual variability with the interannual variability simulated by the climate model. (E) The probability of the observed trend in the Historical Climate Model Simulations. (F) The uncertainty in the contribution of the historical forcing to the event probability.

the statistical assumptions or implementation, or differences in which aspect of the event is analyzed (5).

Some methods have matured to the point that “rapid” analyses are now being undertaken (e.g., ref. 39), creating a pathway to operationalize single-event attribution (5, 40). Approaches to evaluate operational attribution are also emerging, including using multiple methods to analyze a single event (38), and using a single method to analyze multiple events (41). In the current study, we analyze multiple types of events using multiple attribution metrics at all available points on a global grid (Fig. 1; *Materials and Methods*). Our design is philosophically akin to the “precomputed” approach of ref. 40 and the global climate model (GCM)-based analysis of ref. 42. However, we compare multiple attribution metrics, quantify the most extreme event in the observations, and constrain both observational and climate model analyses by the statistical characteristics of the observational record. By extending methods developed for individual localized events to a generalized,

global context, our framework provides a systematic evaluation based on observational availability, climate model skill, and the validity of the underlying statistical assumptions.

We analyze four variables that test both punctuated and prolonged extremes: the hottest month, hottest day, driest year, and wettest 5-d period. In addition, given the importance of both dynamic and thermodynamic effects (2, 3, 9, 25, 43), we demonstrate the potential to systematically evaluate the occurrence of physical “ingredients” that contribute to individual events.

Results and Discussion

We find that 79% of the observed area exhibits a statistically significant trend in peak summer monthly temperature (Table 1 and Fig. S1). The trend has increased the severity and probability of the maximum peak summer value at 97% of observed area, including over much of the tropics, where the trend has contributed at least 50% of the magnitude and increased the probability by at least a factor of 5 (Fig. 2 A and B). The observed trend is more likely to occur in the Historical Simulations than in a stationary climate over 81% of observed area, with 64% exhibiting high statistical confidence (Table 1 and Fig. 2C). Further, 83% of observed area exhibits higher probability of exceeding the maximum value in the Historical Simulations than in the Pre-Industrial (Table 1), including increases of at least a factor of 4 over large areas of the tropics (Fig. 2D).

Observations of daily temperature extremes are more sparse, and only 41% of observed area exhibits a statistically significant trend in the hottest daily temperature of the year (Table 1 and Fig. S2). However, the trend has increased the severity and probability of the maximum value at $\geq 82\%$ of observed area (Table 1), including contributing at least 30% of the magnitude over large areas of Europe and eastern Asia (Fig. 2E), and increasing the probability by at least a factor of 2.5 over most of Europe and parts of western North America and eastern Asia (Fig. 2F). There is high statistical confidence that the observed trend is more likely in the Historical Simulations at 73% of observed area (Table 1), with the most prominent exception being the well-documented “warming hole” over the eastern United States (Fig. 2G). Further, 85% of observed area exhibits a higher probability of exceeding the maximum daily temperature value in the Historical Simulations, including increases of at least a factor of 2 over large areas of North America, Europe, and Asia (Table 1 and Fig. 2H).

The trend in annual precipitation has increased the severity and probability of the minimum annual precipitation at 42% of observed area (Table 1). Much of this influence of the historical trend is centered in the tropics, where large areas exhibit increased probability of at least a factor of 2 (Fig. 3B). Many of those areas also exhibit a higher probability of the observed annual precipitation trend in the Historical Simulations, including high statistical confidence over areas of tropical South America, tropical Africa,

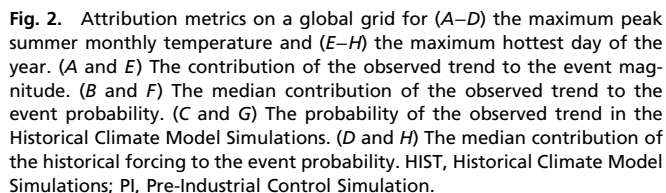
Table 1. Summary of extreme event analysis

Event	Obs trend	Δ magnitude from trend		Δ return interval from trend		GCM-Obs comparison*	GCM Historical trends			Δ return interval from forcing	
	Trend	$\Delta\text{mag} > 0$	>0 and trend	Return	>1 and trend	A-D	Trend fraction	>50% and A-D	Trend fraction	Return	>1 and A-D
	$P < 0.05$		$P < 0.05$	ratio > 1	$P < 0.05$		$P > 0.05$	> 50%	$P > 0.05$	$P < 0.05^{\dagger}$	ratio > 1
Peak summer monthly temperature	0.79	0.97	0.78	0.97	0.78	0.71	0.81	0.58	0.64	0.83	0.59
Hottest day of the year	0.41	0.82	0.40	0.83	0.40	0.83	0.82	0.69	0.73	0.85	0.70
Annual precipitation	0.36	0.42	0.14	0.42	0.14	0.55	0.54	0.32	0.34	0.57	0.32
Wettest 5-d period of the year	0.18	0.58	0.12	0.59	0.13	0.80	0.63	0.51	0.06	0.41	0.33

Reported value is the area-weighted fraction of available observations (calculated using the median grid point value, as illustrated in Fig. 1). Obs. observations.

*Comparison of GCM and observations using A-D test.

[†]Where $\geq 50\%$ of GCM realizations agree with the observed sign of trend, and P value of trend fraction is < 0.05 .



Quantifying the influence of the historical local trend has important limitations. First, although commonly applied in the literature, the historical trend will not always be accurately represented by a linear model. Second, changes in variance and higher order moments are not accounted for by subtracting a linear trend. Although such changes—and the associated nonlinear effects on event probability—are implicitly included in our comparison of Historical and Pre-Industrial Simulations (Fig. 1*F*), our analysis of the observed trend (Fig. 1*A* and *C*) effectively assumes that any changes in higher moments did not result from human forcing. Third, because the block bootstrap is implemented to account for

This lower sensitivity could explain why the influence of the trend in observed peak summer temperature is larger than the influence of the historical forcing over most areas (Fig. 2 *B* vs. *D*). Indeed, the median LENS peak summer temperature trend falls in the bottom half of the CMIP5 ensemble over most of the observed area (Fig. S5). Interestingly, compared with monthly temperature and annual precipitation, the LENS trends in daily temperature and precipitation are closer to the CMIP5 median over areas of similar data coverage (i.e., North America, Europe, and East Asia). Further, although the global temperature trend is underestimated in LENS, the median LENS annual precipitation trend falls in either the upper or lower CMIP5 quartile over much of the global land area. Together, these grid point comparisons between LENS

hypothesis testing to quantify the uncertainty in the probability of both the event and the contributing physical causes, (iii) ensuring accurate assessment of the fidelity of the statistical and physical models to the observational data, (iv) distinguishing changes in the probability of extremes from changes in the mean, and (v) systematically differentiating “absence of evidence” of a causal link from “evidence of absence.”

The ability to robustly quantify the influence of historical global warming on the severity and probability of individual events has important implications for climate adaptation and mitigation efforts, including infrastructure design, resource management practices, disaster risk management systems, quantification of “loss and damage” and legal liability, quantification of the “social cost of carbon,” and “rapid attribution” of individual events. Further, although we have focused on the influence of historical global warming, our framework could be used to quantify the probability of unprecedented events at higher levels of forcing, including those identified in the United Nations Paris Agreement.

Materials and Methods

Observations and Models.

Observations. Table S1 shows datasets and time periods. We use monthly temperature anomalies from the “NOAGlobalTemp” gridded dataset (49) to analyze the maximum peak summer temperature (July in the Northern Hemisphere and January in the Southern Hemisphere). We use gridded monthly precipitation from ref. 50 to analyze the minimum annual total precipitation. We use the global gridded observational datasets from ref. 51 to analyze the maximum hottest day of the year and the maximum wettest 5-d period of the year.

To explore the potential to quantify the influence of global warming on additional variables beyond temperature and precipitation, we also analyze sea ice data from ref. 52, and geopotential height patterns and precipitable water from ref. 53. The geopotential height patterns are calculated using self-organizing maps as described in ref. 25, with the direct atmospheric “thermal dilation” removed (see ref. 26).

Climate models. The most prominent climate model experiments are those coordinated by CMIP (e.g., ref. 54). Although CMIP provides simulations from many different climate models, the limited number of realizations means that a relatively small number of simulated years are available from each model in each forcing window, which can create substantial errors in the calculation of return intervals of the most extreme events (23). We therefore analyze the National Center for Atmospheric Research (NCAR) LENS ensemble, which generates a large ensemble (~30 realizations) of a single model in an individual CMIP5 forcing pathway (e.g., refs. 45 and 55). This approach provides many hundreds of simulated years in a given forcing window (Table S1), captures a much larger range of variability than is available in the observations, and isolates internal climate system variability from model structural uncertainty.

LENS was generated with the NCAR Community Earth System Model run at $\sim 1^\circ$ horizontal resolution (55). The ensemble methodology branches multiple GCM simulations from a single CMIP-type transient Historical Simulation. These multiple realizations differ only in slight perturbations in the initial atmospheric conditions in 1920. (We analyze only the branched simulations that were initialized in 1920.) Each ensemble member is then prescribed the transient historical forcing throughout the end of the CMIP5 historical period (2005), and the Representative Concentration Pathway (RCP8.5) transient forcing after 2005.

We compare the LENS Historical Simulations with the Pre-Industrial Control Simulation (Table S1). Depending on the variable, the observed record may be shorter than the LENS simulations, or only a subset of the observed record may be deemed reliable (e.g., due to reliance on satellite observations). Although the initial period of the RCP8.5 simulations can be used to extend the simulated period past 2005, the lack of other real-world forcings such as volcanic eruptions can affect the fidelity of the simulated climate in the post-2005 period (e.g., ref. 56).

Quantifying the Influence of Global Warming on Individual Events. We evaluate the locally observed maxima or minima of four widely used extreme temperature and precipitation indicators on a global grid. We calculate four target metrics for each variable (Fig. 1), based on our previously published methods (22, 24, 34, 57). Our choice of these four metrics is motivated by the need to compare different metrics that have been explored in the literature (5), including the contribution of the local historical trend to a given event, and the extent to which historical climate forcing has influenced the probability of a given event. We report results at grid points where the observational dataset is continuous over the analysis period.

The contribution of the observed trend to the magnitude of the event. We first find the maximum/minimum event in the observed time series at each grid point. We then detrend the observed time series at each grid point, and find the new value of the original event magnitude in the detrended time series (Fig. 1A). We then calculate the contribution of the observed trend to the event magnitude as the difference between the observed event magnitude and the detrended event magnitude, divided by the difference between the observed event magnitude and the mean of the detrended time series (Fig. 1A). We calculate the statistical significance of the observed trend following the approach of ref. 24, which accounts for temporal dependence in the observed time series using the moving block bootstrap.

The contribution of the observed trend to the probability of the event magnitude. We adapt the approach of refs. 34 and 22 to calculate the ratio of return intervals between the observed and detrended time series. Different authors have used different parametric distributions (e.g., comparison in ref. 34). Here we use the Gumbel variation of the Generalized Extreme Value (GEV) distribution, which, for these variables and these datasets, provides a conservative estimation of the change in probability compared with the more generalized application of the GEV (Fig. S7). However, we note that the Gumbel distribution will not necessarily provide the most conservative estimation in all cases, and therefore care should be taken when selecting the method for calculating the return interval of historically unprecedented events.

Because sampling errors tend to be large when data segment lengths are similar to the event return interval (23), the fact that the observational record is limited to several decades is likely to create substantial uncertainty in the calculated return interval of the most extreme events. We therefore follow refs. 34 and 22 in using the moving block bootstrap to account for uncertainty in the fit of the observations to the parametric distribution. As in ref. 24, the length of each subset for the nonparametric block bootstrap—that is, the “block size”—is determined by the number of time steps for which temporal dependence is significant in the time series, based on the partial autocorrelation function of the data. By selecting the block size based on the observed autocorrelation of the data, the moving block bootstrap preserves the observed dependency of the data within—but not among—the blocks. Our application of the moving block bootstrap is thereby an approach to ensuring that the statistical assumptions for hypothesis testing hold (i.e., that the block samples in the bootstrap are approximately independent and identically distributed random vectors).

This bootstrapping yields a sample of parametric fits to the observations, which in turn yields a sample of return intervals for the event magnitude in the observed time series (Fig. 1B and Fig. S8). We repeat this process to calculate the sample of return intervals for the event magnitude in the detrended time series. [We find that the return interval uncertainty is very similar between the observed and detrended time series, with peak summer temperature over tropical South America exhibiting the greatest discrepancy (Fig. S8).] Finally, we follow refs. 34 and 22 in calculating the ratio for all possible combinations of return intervals in the observed and detrended time series, yielding an estimate of the uncertainty in the contribution of the observed trend to the event probability (Fig. 1C). We report the median value of the ratio distribution (Fig. 1C) at each grid point.

The probability of the observed trend in the historical climate forcing. We follow the approach of ref. 57 to calculate the probability of the observed trend in the historical forcing (Fig. 1E). We first calculate the fraction of Historical Simulations that exhibit a trend of the same sign as the observed time series. We then evaluate the statistical significance of that fraction using a two-tailed binomial test, where (i) the null hypothesis is that the probability of observing a positive trend is 0.5 and the probability of observing a negative trend is 0.5, and (ii) the *P* value is calculated as the two-tailed probability that the simulated fraction of trends having the same sign as the observed trend is equal to 0.5. (This method avoids fitting a parametric distribution to the observed or simulated data.)

As in refs. 34 and 22, we evaluate the climate model's simulation of inter-annual variability in each climate indicator (Fig. 1D). Previous event attribution studies have made this evaluation using the Kolmogorov–Smirnov test (22, 34, 38). However, we find that the Anderson–Darling (A-D) test, which gives more weight to the tails of the distribution, produces a more restrictive comparison with observations for the four extreme climate variables (Table S1). We therefore use the A-D test. We first correct the mean of the Pre-Industrial Control Simulation to be equal to the mean of the detrended observations. We then use the A-D test to quantify the agreement between the mean-corrected Pre-Industrial Simulation and the detrended observations. We reject the climate model if the A-D test yields a P value less than 0.05, as this suggests that the model output does not come from the same statistical population as the observations.

The probability of the event magnitude in the historical and preindustrial climate forcing. We follow refs. 34 and 22 in calculating the ratio of return intervals between the Historical and Pre-Industrial GCM Simulations (Fig. 1f). This

analysis is similar to that described in *The Contribution of the Observed Trend to the Probability of the Event Magnitude* for the influence of the historical trend, but performed on the Historical and Pre-Industrial GCM Simulations rather than the observed and detrended time series. The NCAR large ensemble provides >700 y of data in the Historical and Pre-Industrial Simulations (Table S1); in contrast to decadal-scale periods, 1,000-y simulations have been shown to “provide fairly accurate estimates of changes in return levels even for long return periods” (23).

As described in ref. 22, we use the sample of event return intervals in the observations (calculated above) to define the sample of event magnitudes in the climate model simulation: We first define the sample of return intervals in the Pre-Industrial Simulation to be identical to that of the detrended observed time series. We then calculate the sample of event magnitudes in the Pre-Industrial Control Simulation that are associated with that sample of event return intervals. Then, for each of the Pre-

Industrial event magnitudes, we calculate the associated event return interval in the Historical Climate Model Simulations. Finally, as described above, we calculate the ratio for all possible combinations of return intervals in the Historical and Pre-Industrial samples, yielding an uncertainty estimate for the contribution of historical forcing to the event probability. We report the median value of the ratio distribution (Fig. 1F) at each grid point.

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